



# Nuclear Science and Security Consortium

September Workshop and  
Advisory Board Meeting

## State Participation in Nonproliferation Regime Networks

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# Research Overview

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**HYPOTHESIS:** As nonproliferation networks become larger and more connected, global nuclear proliferation levels will decrease

**METHOD:** Calculate various network measures (from network analysis) to compare against state and global nuclear proliferation levels

**OUTCOME:** Network membership implies a self-enforcing mechanism for individual states, but increased overall participation negligibly affects global nonproliferation norms



# Scope and Assumptions

- Affiliation Network: nodes are states and treaties



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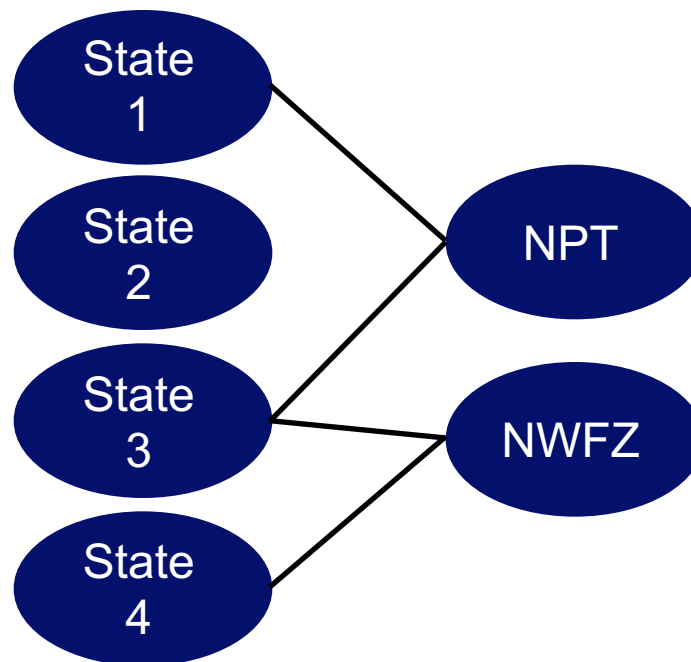
	NPT	NWFZ
State 1	1	0
State 2	0	0
State 3	1	1
State 4	0	1



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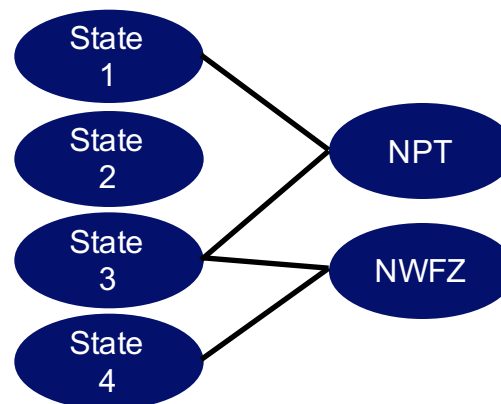




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- Only considered states that are eligible to sign treaties

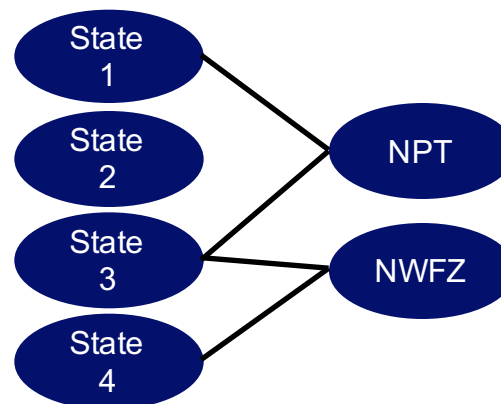
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- Separated signature and ratification networks

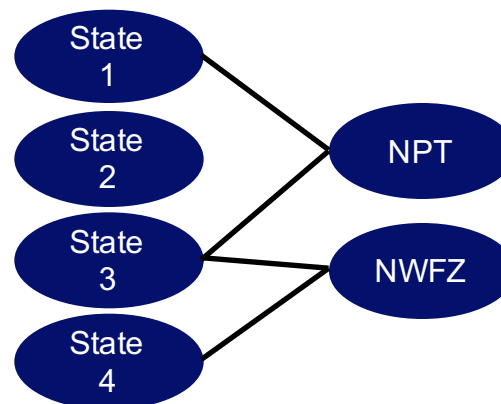
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## Sources:

- UN Treaty Website
- CIA World Factbook
- 2004 Singh and Way proliferation dataset with 2012 update from Dr. Way

0 → No Activity

1 → Explore

2 → Pursue

3 → Acquire



# Test 1: Eigenvector Centrality

- Measures centrality of node and its connected nodes



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$$\begin{array}{c}
 \text{State}_1 \\
 \dots \\
 \text{State}_i
 \end{array}
 \begin{array}{c}
 \text{Treaty}_1 \quad \dots \quad \text{Treaty}_j \\
 \left[ \begin{array}{ccc}
 1 & \dots & 1 \\
 \dots & \dots & \dots \\
 0 & \dots & 1
 \end{array} \right]
 \end{array}$$

**Matrix A**



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 \dots \\
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**Matrix A**

## Normalization

$$a_{ij}^* = \frac{a_{ij}}{\sqrt{\text{Max possible}[a_{i+}] * \text{Max possible}[a_{+j}]}}$$





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$$\begin{array}{c}
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 \text{State}_1^* \begin{bmatrix} 0.71 & \dots & 0.5 \\ \dots & \dots & \dots \\ \text{State}_i^* \begin{bmatrix} 0 & \dots & 0.71 \end{bmatrix}
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## State Centralities

$$(A^* A^{*'}) c^N = \lambda^2 c^N$$

$$\begin{bmatrix} 0.82 \\ \dots \\ 0.58 \end{bmatrix}$$



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## Treaty Centralities

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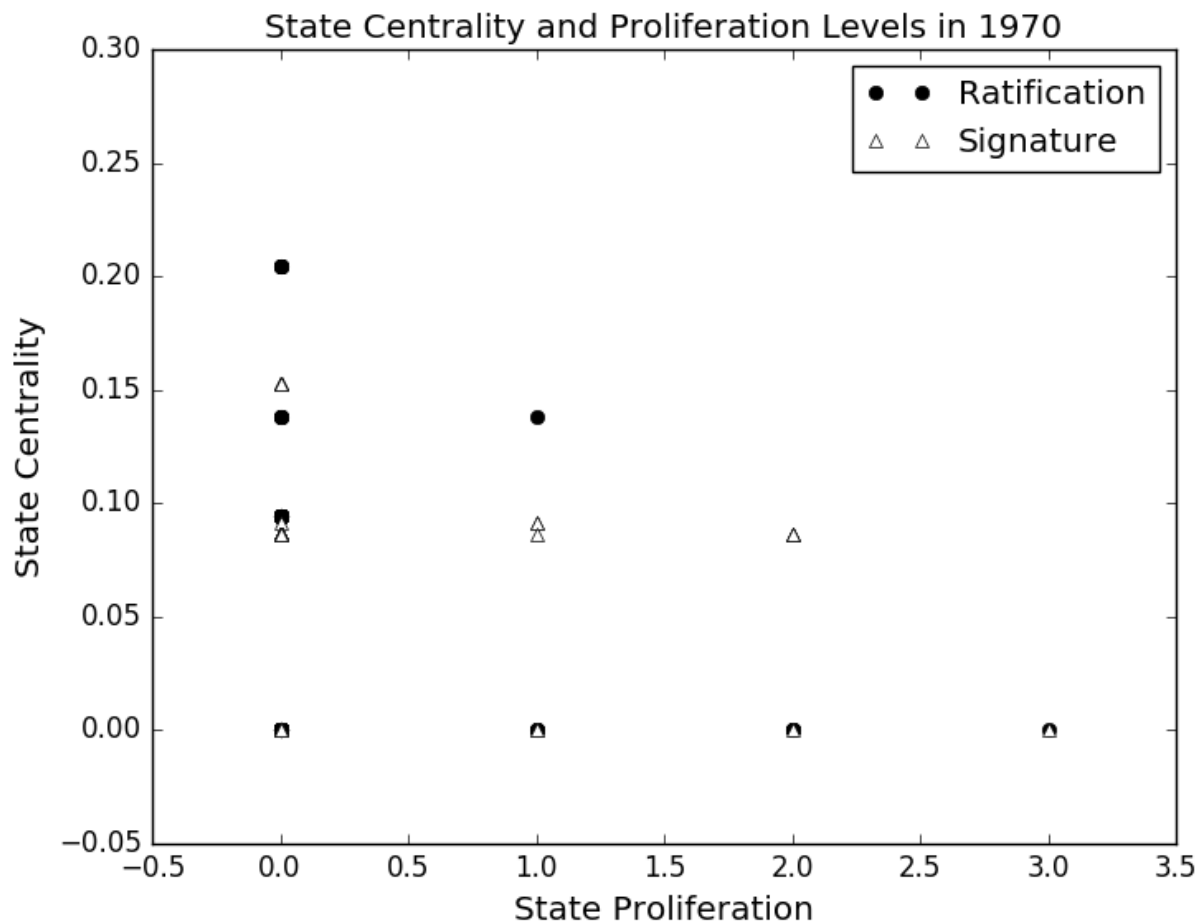
# Test 1: Eigenvector Centrality

- For each year, correlated state centrality with state proliferation level
  - **Hypothesis:** as state centrality increases, state proliferation decreases



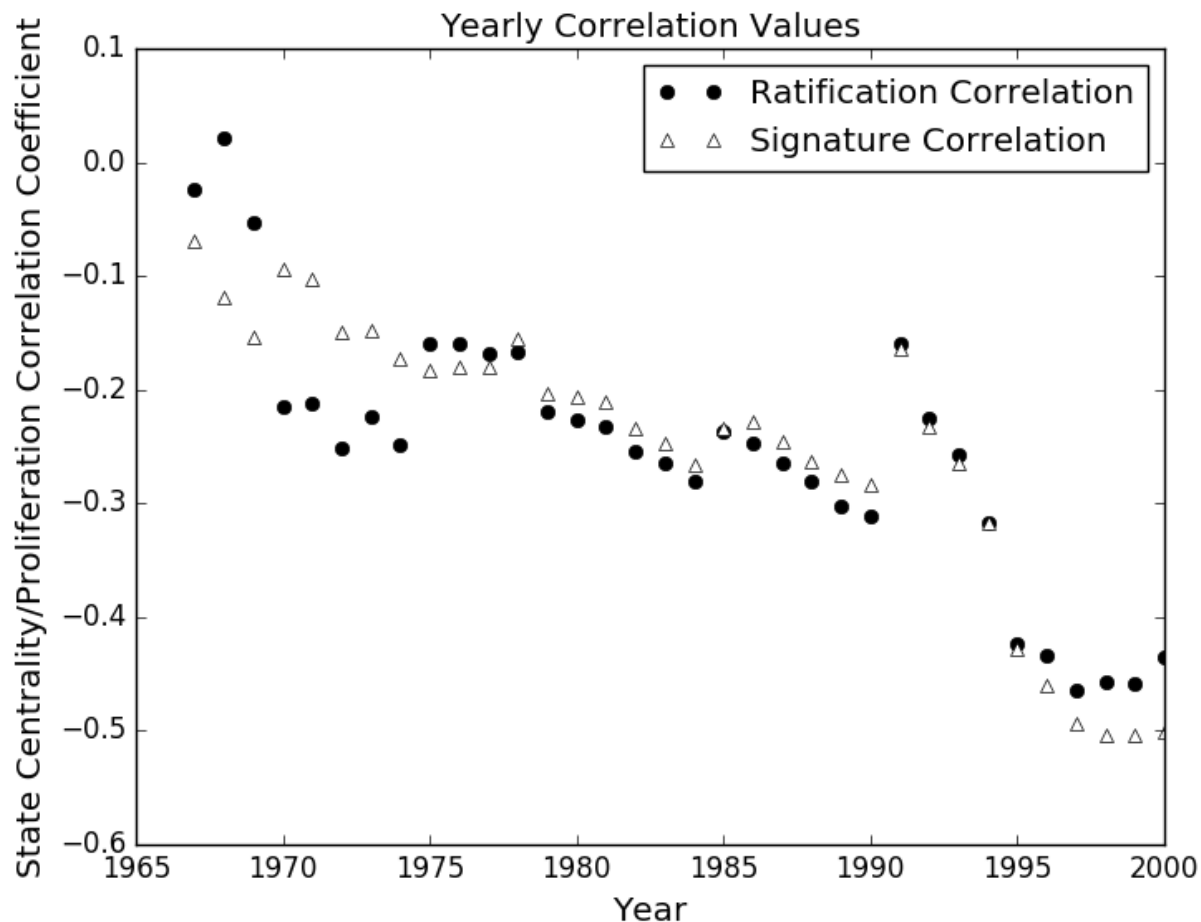
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# Test 1: Eigenvector Centrality

- For each year, correlated state centrality with state proliferation level
  - **Hypothesis correct:** as state centrality increases, state proliferation decreases; gets stronger through time



# Test 2: Network Density

- Measure of how connected the network is



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$$\text{density} = \frac{\# \text{ of connections}}{n_i * n_j}$$



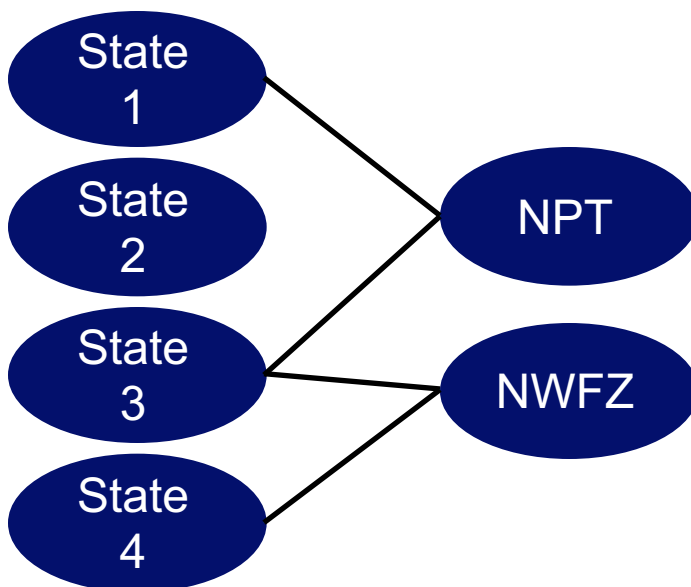


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**Density = 0.5**

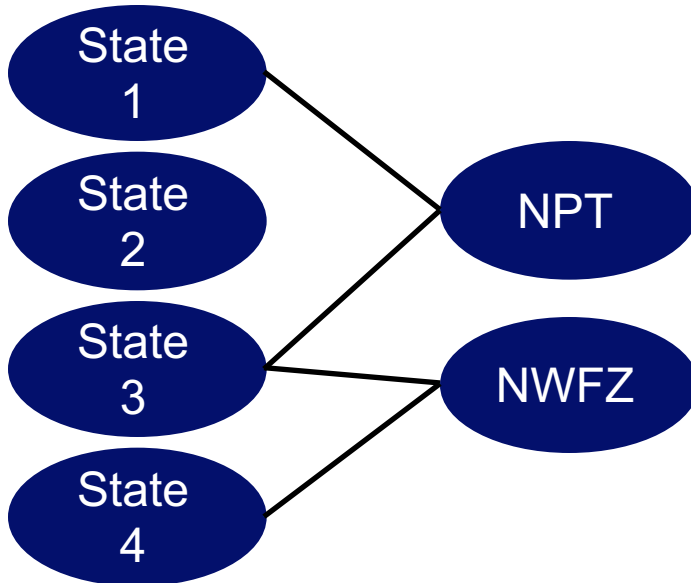


# Test 2: Network Density

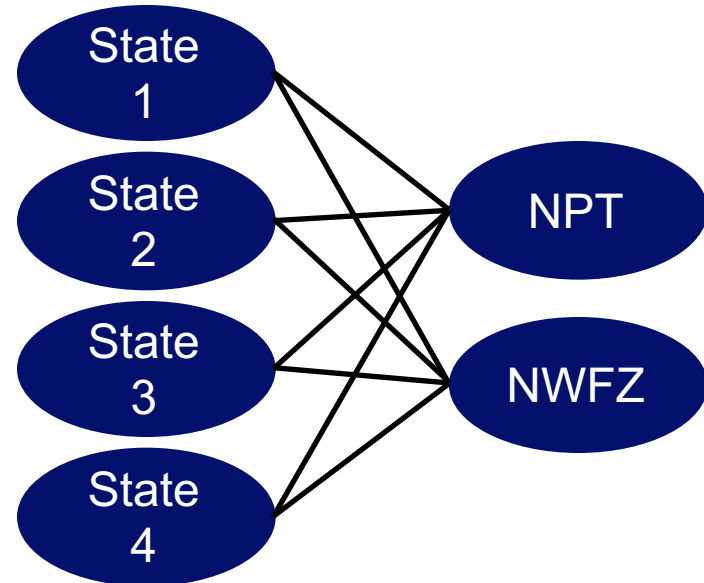
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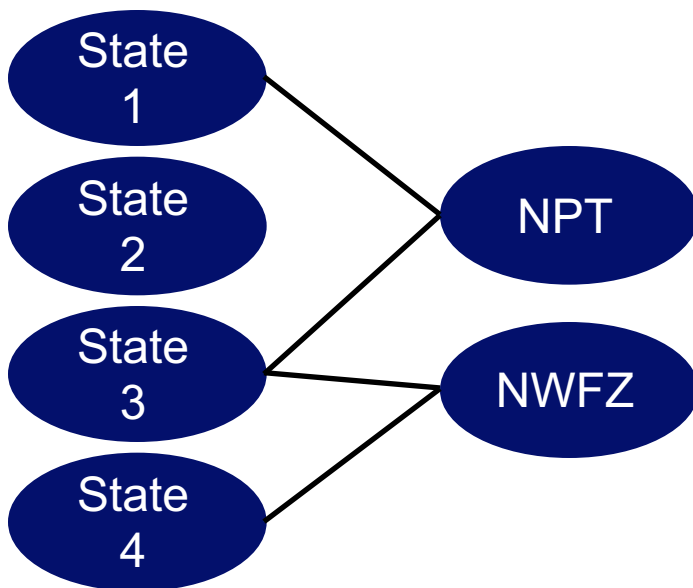
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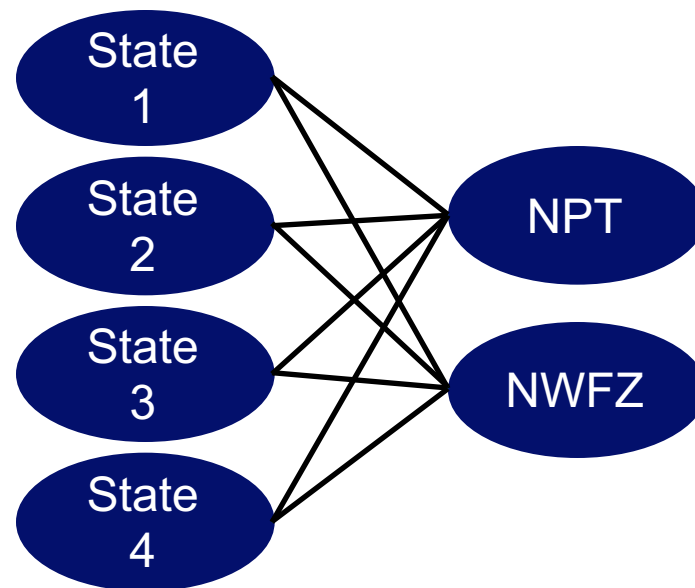
$$density = \frac{\# \text{ of connections}}{n_i * n_j}$$

- Over all years, correlated annual density with global proliferation level
  - **Hypothesis:** as network density increases, global proliferation decreases

**Density = 0.5**



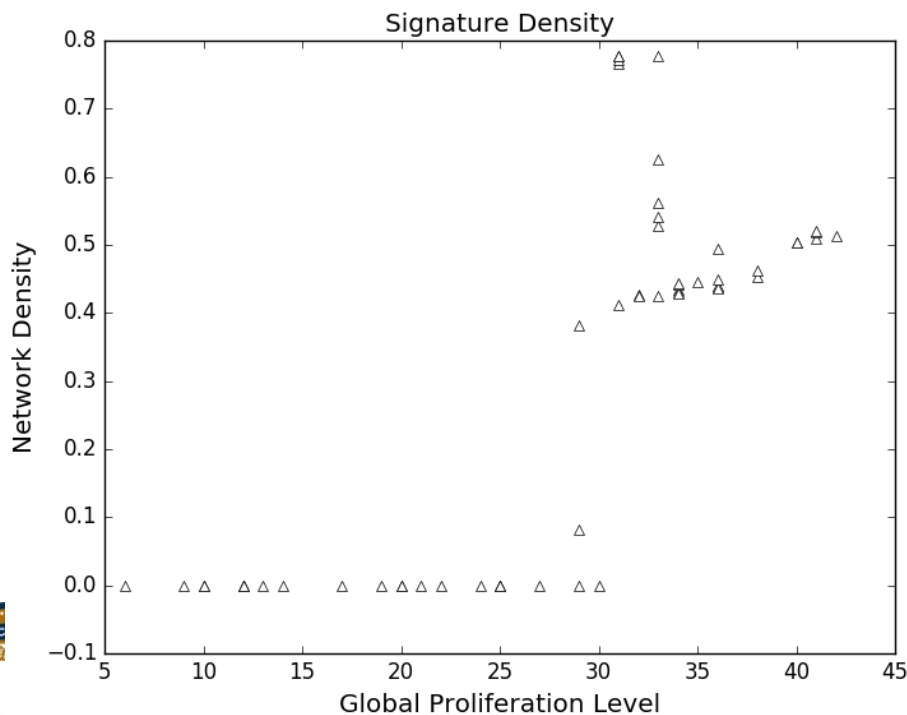
**Density = 1**



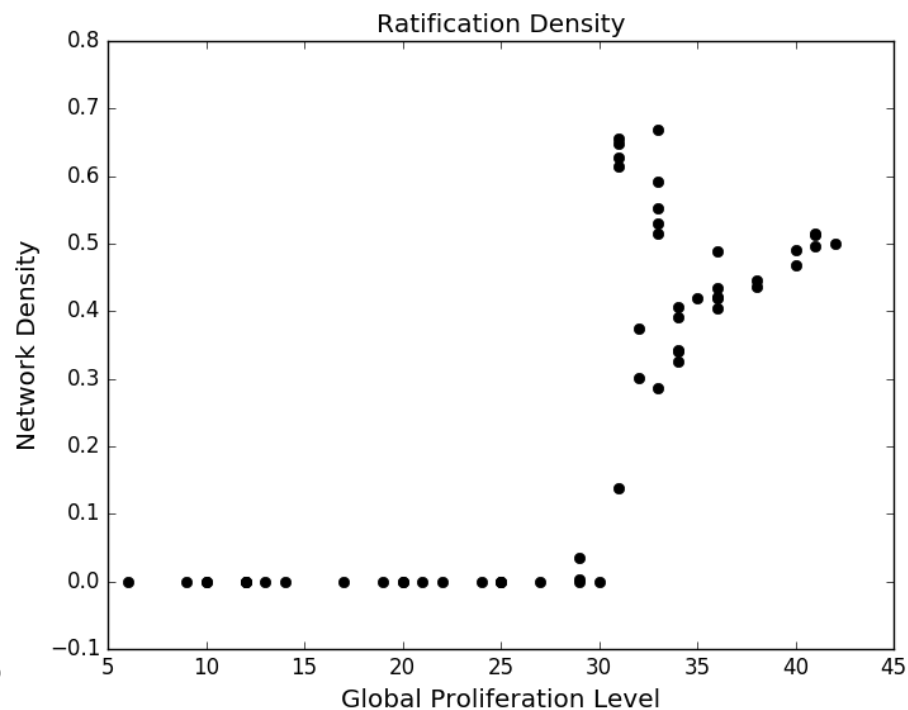
# Test 2: Network Density

- Over all years, correlated annual density with global proliferation level
  - **Hypothesis wrong**: as network density increases, global proliferation ~~decreases~~ **increases**

Correlation: 0.76  
p-value: 7.60 e-12

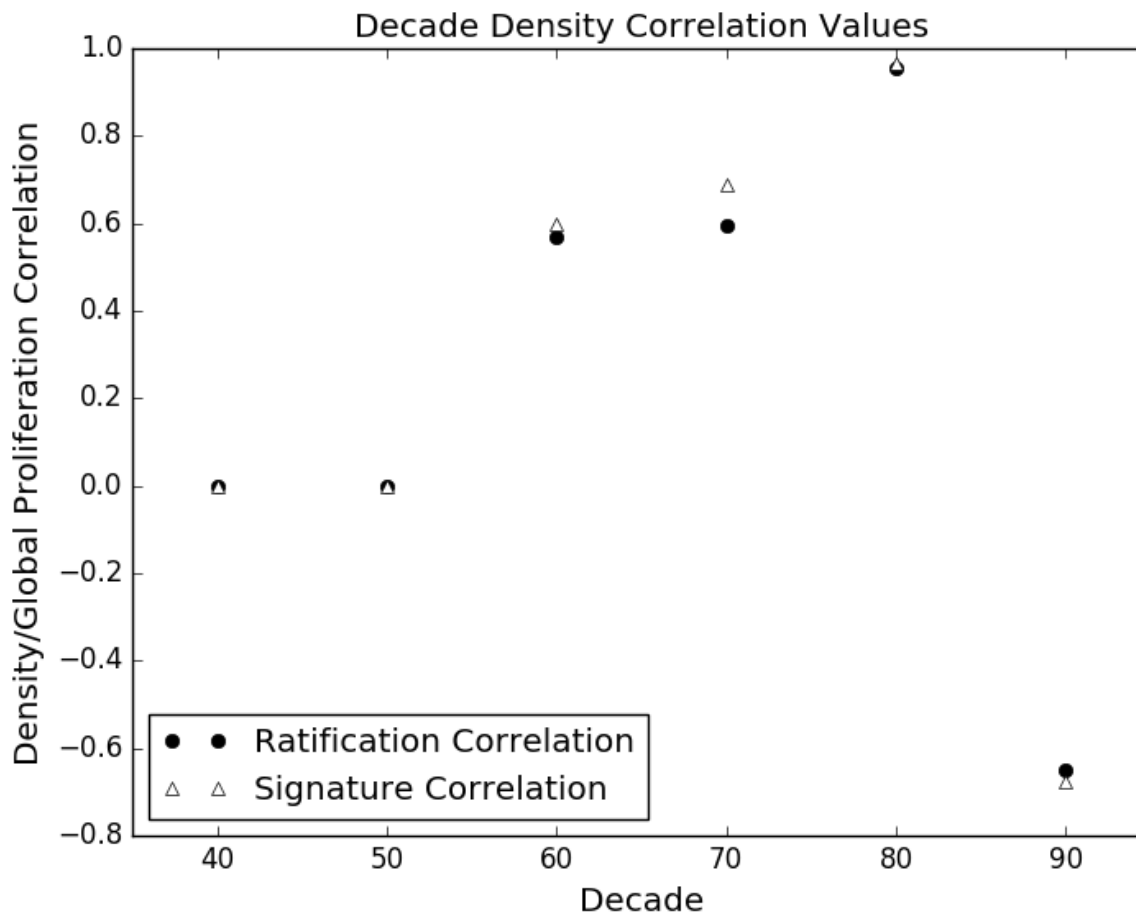


Correlation: 0.77  
p-value: 3.50 e-12



# Test 2: Network Density

- Over all years, correlated annual density with global proliferation level
  - **Hypothesis sometimes correct:** as network density increases, global proliferation decreases in 1990-2000



# Test 3: Common Actors

- Measure of how many states are members of both the NPT and a NWFZ



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- Measure of how many states are members of both the NPT and a NWFZ
- Over all years, correlated annual number of common actors with global proliferation level
  - **Hypothesis:** as the number of common actors increases, global proliferation decreases



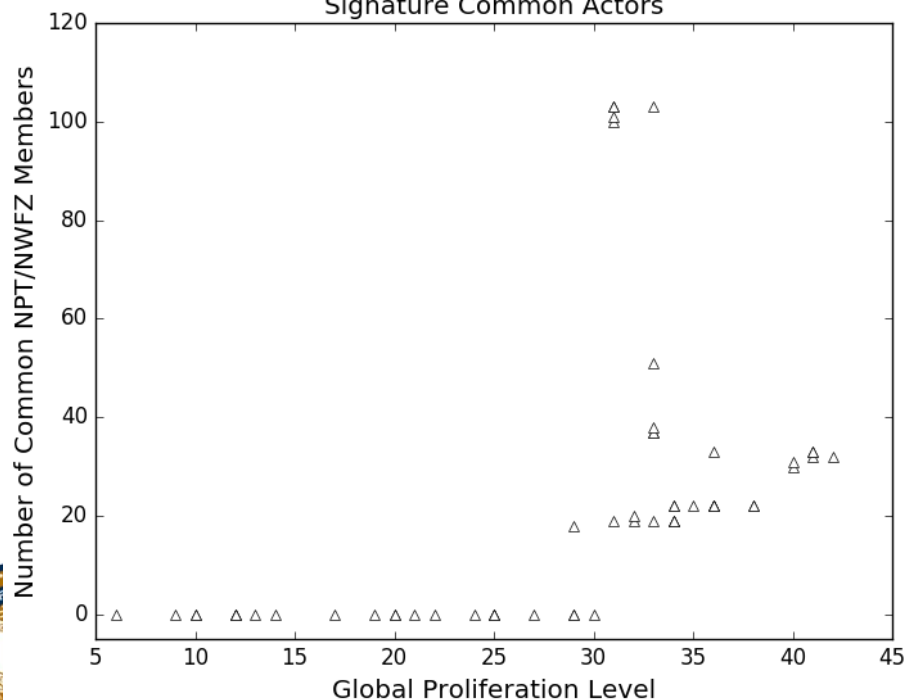
# Test 3: Common Actors

- Over all years, correlated annual number of common actors with global proliferation level
  - **Hypothesis wrong**: as the number of common actors increases, global proliferation **decreases increases**

Correlation: 0.47

p-value: 2.57 e-4

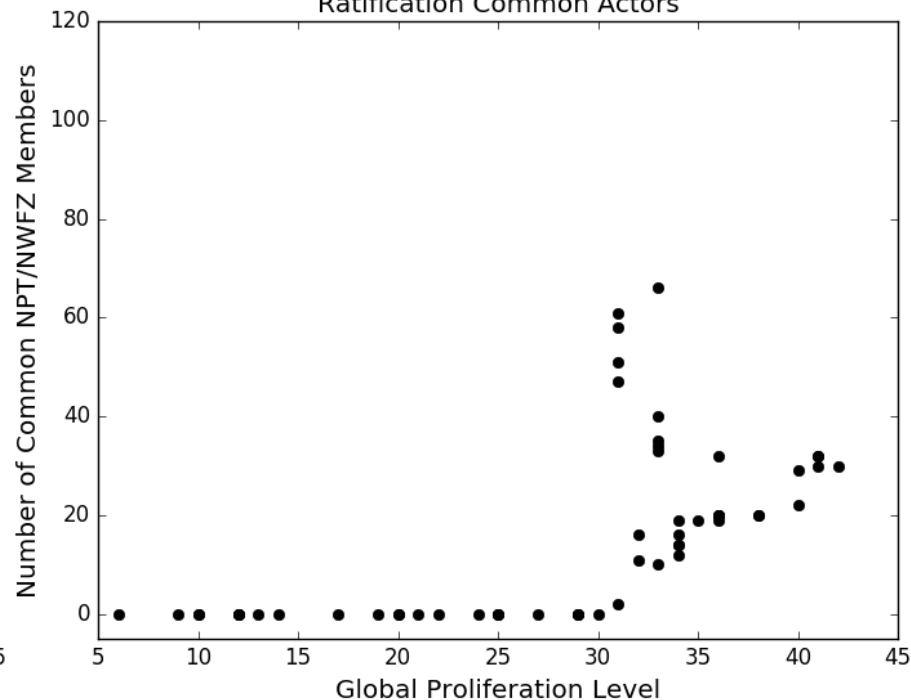
Signature Common Actors



Correlation: 0.60

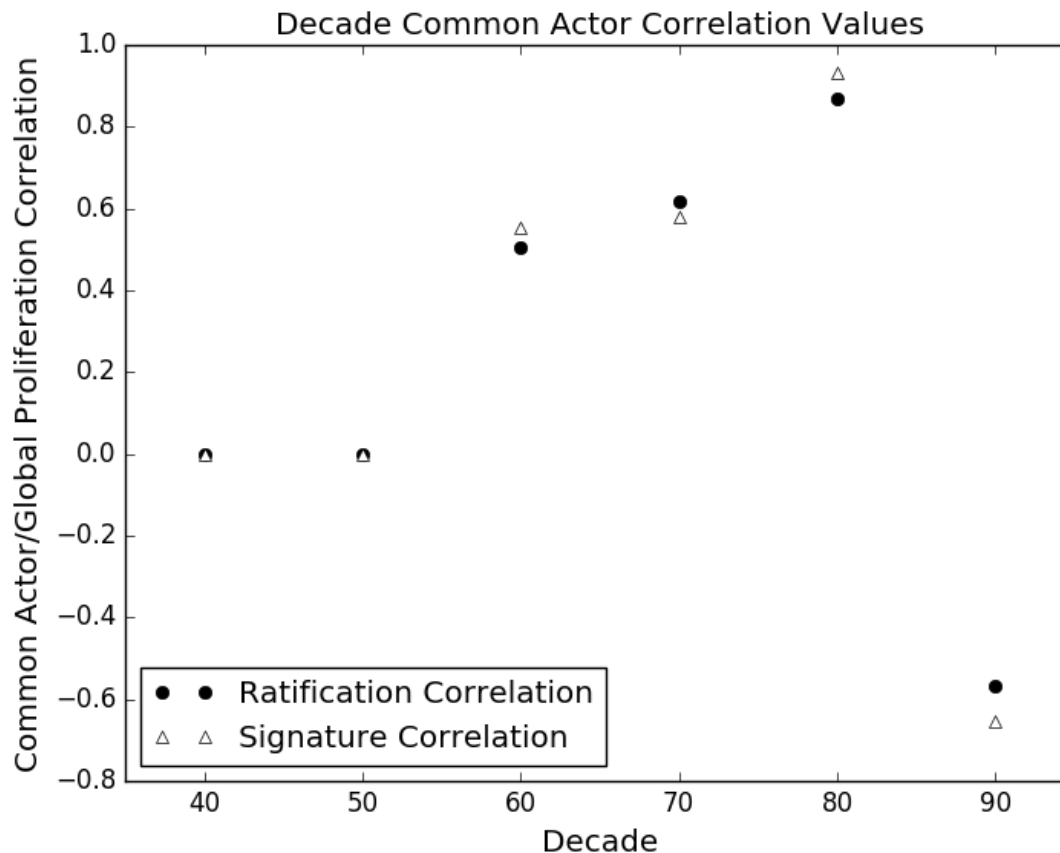
p-value: 1.02 e-6

Ratification Common Actors





- Over all years, correlated annual number of common actors with global proliferation level
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# Final Thoughts

## Conclusions:

- Joining the NPT and a NWFZ is a ***self-enforcing*** mechanism encouraging nonproliferation tendencies in the ***individual state***
- Increasing number of states participating in NPT and NWFZs has ***negligible effect on global nonproliferation norms***



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## Limitations:

- Omitted variable bias
- Selection bias



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## Future Work:

- NPT Additional Protocols
- Additional nonproliferation treaties



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